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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

|  |                                       |
|--|---------------------------------------|
| Attorney Docket No.                    | SUN-P4182                             |
| First Inventor or Applicant Identifier | Judith E. Schwabe                     |
| Title                                  | Populating Binary Compatible Resource |
| Express Mail Label No.                 | EL575422686US                         |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

|    |   |
|----|---|
| 1. | <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SF/17)<br>(Submit an original and a duplicate for fee processing)  |
| 2. | <input checked="" type="checkbox"/> Specification (Total Pages <input type="text" value="98"/><br>(preferred arrangement set forth below)<br>- Descriptive title of the invention<br>- Cross References to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to Microfiche Appendix<br>- Background of the invention<br>- Brief Summary of the invention<br>- Brief Description of the Drawings (if filed)<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure |
| 3. | <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) (Total Sheets <input type="text" value="34"/> )  |
| 4. | Oath or Declaration (Total Pages <input type="text" value="4"/> )<br>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.83(d))<br>(for continuation/divisional with Box 16 completed)<br>c. <input type="checkbox"/> DELETION OF INVENTOR(S)<br>Signed statement attached deleting<br>inventor(s) named in the prior application,<br>see 37 C.F.R. §§ 1.83(d)(2) and 1.33(b).                                   |

|    |   |
|----|---|
| 5. | <input type="checkbox"/> Microfiche Computer Program (Appendix)   |
| 6. | Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (Identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies |

### ACCOMPANYING APPLICATION PARTS

|     |   |
|-----|---|
| 7.  | <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))   |
| 8.  | 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney                           |
| 9.  | English Translation Document (if applicable)  |
| 10. | Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  |
| 11. | Preliminary Amendment   |
| 12. | <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)                               |
| 13. | * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SF/CS-12) |
| 14. | <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)                                       |
| 15. | Other: _____  |

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_  
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 17. CORRESPONDENCE ADDRESS

|  |   |
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| <input type="checkbox"/> Customer Number or Bar Code Label | <input type="checkbox"/> Correspondence address below |
|--|---|

|         |  |           |              |          |              |
|---------|--|-----------|--------------|----------|--------------|
| Name    | David B. Ritchie<br>D'Alessandro & Ritchie |           |              |          |              |
| Address | P. O. Box 640640                           |           |              |          |              |
| City    | San Jose                                   | State     | CA           | Zip Code | 95164-0640   |
| Country | USA  | Telephone | 408-441-1100 | Fax      | 408-441-8400 |

|                   |                       |                                   |         |
|-------------------|-----------------------|-----------------------------------|---------|
| Name (Print/Type) | John P. Schaub        | Registration No. (Attorney/Agent) | 42,125  |
| Signature         | <i>John P. Schaub</i> | Date                              | 9/14/00 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
 )  
Judith E. Schwabe )  
 )  
Serial No.: [Not yet assigned] This application )  
is a non-provisional patent application of )  
provisional application Serial No.: 60/200,480 )  
filed April 28, 2000. )  
 )  
Filed: September 14, 2000 )  
 )  
For: POPULATING BINARY )  
COMPATIBLE RESOURCE- )  
CONSTRAINED DEVICES WITH )  
CONTENT VERIFIED USING API )  
DEFINITIONS )




CERTIFICATE OF MAILING

"Express Mail" mailing label no: EL575422686US

Date of Deposit: September 14, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

  
Diane Morse

TRANSMITTAL LETTER

Honorable Assistant Commissioner  
for Patents  
Box Assignment  
Washington, D.C. 20231

Sir:

Attached hereto for filing are the following:

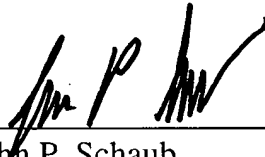
1. Executed assignment by the inventor to assignee, Sun Microsystems, Inc.  
and recordation cover sheet; and

2. Check in the amount of \$40.00 for recordation of the assignment -  
Fee Code 581.

In the event any additional fee is required for filing the above-noted document, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached document timely, the Assistant Commissioner is hereby authorized to charge the fee to our Deposit Account No. 04-0025. A duplicate copy of this page is enclosed.

Respectfully submitted,  
D'ALESSANDRO & RITCHIE

Dated: September 14, 2000

  
\_\_\_\_\_  
John P. Schaub  
Reg. No. 42,125

D'Alessandro & Ritchie  
P.O. Box 640640  
San Jose, CA 95164-0640  
(408) 441-1100

09/14/00  
jc784 U.S. PTO

SUN-P4182

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Judith E. Schwabe

Serial No.: [Not yet assigned] This application is a non-provisional patent application of provisional application Serial No.: 60/200,480 filed April 28, 2000.

Filed: September 14, 2000

For: POPULATING BINARY  
COMPATIBLE RESOURCE-  
CONSTRAINED DEVICES WITH  
CONTENT VERIFIED USING API  
DEFINITIONS

Art Unit: Not yet assigned

Examiner: Not yet assigned

jc784 U.S. PTO  
09/661582  
09/14/00

CERTIFICATE OF MAILING

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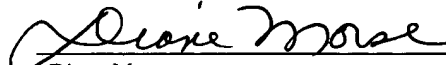
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Box Patent Application

Assistant Commissioner for Patents

Washington, D.C. 20231

  
Diane Morse

**TRANSMITTAL LETTER**

Honorable Assistant Commissioner  
for Patents

Box Patent Application  
Washington, D.C. 20231

Dear Sir:

Enclosed for filing please find the patent application for an invention entitled, "POPULATING BINARY COMPATIBLE RESOURCE-CONSTRAINED DEVICES WITH CONTENT VERIFIED USING API DEFINITIONS", filed on behalf of Sun Microsystems, Inc., assignee from inventor Judith E. Schwabe, including Utility Patent

Application Transmittal, 71 pages of specification, 26 pages of claims, 34 sheets of drawing figures, and 1 page of Abstract. Also enclosed herewith are the Declaration & Power of Attorney. The attorney's Docket Number is SUN-P4182.

Kindly address all communications regarding this application to:

David B. Ritchie  
D'Alessandro & Ritchie  
P.O. Box 640640  
San Jose, CA 95164-0640  
Telephone (408) 441-1100

A check in the amount of \$2,286.00 is enclosed for the filing fee for a large entity, calculated as follows:

|  |                   |
|--|-------------------|
| Basic Filing Fee (Large entity):       | \$ 690.00         |
| 5 Additional Independent Claims:       | \$ 390.00         |
| 67 <u>Additional Dependent Claims:</u> | <u>\$1,206.00</u> |
| Total Filing Fee:                      | \$2,286.00        |

In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 04-0025.

A duplicate of this page is enclosed.

Respectfully submitted,  
D'ALESSANDRO & RITCHIE



John P. Schaub  
Reg. No. 42,125

Dated: September 14, 2000

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